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Attorney Docket No. :

210121.478C10

First Named Inventor:

Tongtong Wang

As a below-named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below under my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## COMPOSITIONS AND METHODS FOR THE THERAPY AND DIAGNOSIS OF LUNG CANCER

which is described and claimed in the specification and claims of continuation-in-part (C-I-P) Patent Application No. 09/651,563, as filed in the United States Patent and Trademark Office on August 29, 2000, ("this application") for which a patent is sought. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or under 35 U.S.C. § 365(c) of any PCT international application(s) designating the United States of America, as listed below ("the parent applications") and insofar as the subject matter of each of the claims of this application is not disclosed in at least one of the below-listed United States or PCT International parent applications in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge my duty to disclose information of which I am aware which is material to the patentability of this application in accordance with 37 C.F.R § 1.56, including material information which became available between the filing date of any of the below-listed parent applications and the national or PCT international filing date of this (C-I-P) application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number	Attorney Docket Number
09/614,124	7/11/2000		210121.478C9
09/589,184	6/5/2000		210121.478C8
09/560,406	4/27/2000		210121.478C7
09/546,259	4/10/2000		210121.478C6
09/533,077	3/22/2000		210121.478C5
09/519,642	3/6/2000		210121.478C4
09/476,300	12/30/1999		210121.478C3
09/466,867	12/17/1999		210121.478C2
09/419,356	10/15/1999		210121.478C1
09/346,492	6/30/1999		210121.478
PCT/US00/18061	6/30/2000		210121.478PC

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Tongtong Wang

Residence

City of Medina, County of King

State of Washington

Citizenship

United States of America

P.O. Address

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Medina, WA 98039

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Citizenship	:	India
P.O. Address	:	2102 North 105 <sup>th</sup> Street, Apt. J101 Seattle, WA 98133
Mich	7 77	1 Lodes
Michael J. Lode	s Z	L.
Date _ 9 - 3	29-	2000
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Citizenship	:	United States of America
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Citizenship	:	United States of America
P.O. Address	:	124 South 300 <sup>th</sup> Place Federal Way, WA 98003

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Darrick Carter	
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Marc W. Putt	4
Marc W. Retter	
Date 9/26/200	∞
Residence :	City of Carnation, County of King State of Washington
Citizenship :	United States of America
P.O. Address :	33402 NE 43 <sup>rd</sup> Place Carnation, WA 98014
Jane Ma	Max
Jane Mannion	
Date 9 29 0	<u> </u>
Residence :	City of Edmonds, County of Snohomish
Citizenship :	State of Washington United States of America
•	
P.O. Address :	8904 – 192 <sup>nd</sup> Street SW Edmonds, WA 98026
41'- 8n	
Date /0/02/	シー <sub>ン</sub>
Residence :	City of Bellevue, County of King State of Washington
Citizenship :	_
P.O. Address :	14116 SE 46 <sup>th</sup> St.

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Bellevue, WA 98006





#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

Tongtong Wang, Chaitanya S. Bangur, Michael J. Lodes,

Gary R. Fanger, Thomas S. Vedvick, Darrick Carter, Marc W. Retter,

Jane Mannion, and Liqun Fan

Application No.

09/651,563

Filed

August 29, 2000

For

COMPOSITIONS AND METHODS FOR THE THERAPY AND

DIAGNOSIS OF LUNG CANCER

Docket No. :

210121.478C10

Box Missing Parts Assistant Commissioner for Patents Washington, DC 20231

#### ELECTION UNDER 37 C.F.R. §§ 3.71 AND 3.73 AND POWER OF ATTORNEY

The undersigned, being Assignee of the entire interest in the above-identified application by virtue of an Assignment filed concurrently herewith, hereby elects, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventors.

Assignee hereby appoints RICHARD W. SEED, Reg. No. 16,557; ROBERT J. BAYNHAM, Reg. No. 22,846; GEORGE C. RONDEAU, JR., Reg. No. 28,893; DAVID H. DEITS, Reg. No. 28,066; WILLIAM O. FERRON, JR., Reg. No. 30,633; DAVID J. MAKI, Reg. No. 31,392; RICHARD G. SHARKEY, Reg. No. 32,629; DAVID V. CARLSON, Reg. No. 31,153; KARL R. HERMANNS, Reg. No. 33,507; DAVID D. MCMASTERS, Reg. No. 33,963; MICHAEL J. DONOHUE, Reg. No. 35,859; JANE E. R. POTTER, Reg. No. 33,332; ROBERT IANNUCCI, Reg. No. 33,514, LORRAINE LINFORD, Reg. No. 35,939; DAVID W. PARKER, Reg. No. 37,414; E. RUSSELL TARLETON, Reg. No. 31,800; ELLEN M. BIERMAN, Reg. No. 38,079; BRIAN G. BODINE, Reg. No. 40,520; BRYAN C. OGDEN, Reg. No. 25,362; ANN T. KADLECEK, Reg. No. 39,244; KEVIN S. COSTANZA, Reg. No. 37,801; THOMAS E. LOOP, Reg. No. 42,810; STEPHEN J. ROSENMAN, Reg. No. 43,058; BRIAN L. JOHNSON, Reg. No. 40,033; SUSAN D. BETCHER, Reg. No. 43,498; WILLIAM T. CHRISTIANSEN, Reg. No. 44,614, GARY M. MYLES, Reg. No. 46,209; ERIC J. GASH, Reg. No. 46,274; and JEFFREY C. PEPE, Reg. No. P-46,985; comprising the firm of Seed Intellectual Property Law Group PLLC, 701 Fifth Avenue, Suite 6300, Seattle, Washington

98104-7092, and CYNTHIA L. SHUMATE, Reg. No. 38,303; KATHLEEN NORA MCKEREGHAN, Reg. No. 41,985; and JEFF E. HUNDLEY, Reg. No. 42,676 as its attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. Please direct all telephone calls to **Jane E. R. Potter** at (206) 622-4900 and telecopies to (206) 682-6031.

Please direct all communications to:

Jane E. R. Potter, Esq.
Seed Intellectual Property Law Group PLLC
701 Fifth Avenue, Suite 6300
Seattle, Washington 98104-7092

Pursuant to 37 C.F.R. § 3.73, the undersigned duly authorized designee of Assignee certifies that the evidentiary documents have been reviewed, specifically the Assignment to CORIXA CORPORATION filed concurrently herewith for recording, a copy of which is attached hereto, and certifies that to the best of my knowledge and belief, title remains in the name of the Assignee.

Corixa Corporation ASSIGNEE

DATE

Kathleen Nora McKereghan

Vice President, General Counsel and Secretary

Enclosure:

Copy of Assignment

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### ASSIGNMENT OF UTILITY PATENT APPLICATION

WHEREAS, we,

Name	Post Office Address	
Tongtong Wang	8049 NE 28th Street, Medina, WA 98039	;
Chaitanya S. Bangur	2102 North 105th Street, Apt. J101, Seattle, WA 98133	;
Michael J. Lodes	9223 - 36th Avenue SW, Seattle, WA 98126	;
Gary R. Fanger	15906 - 29th Drive SE, Mill Creek, WA 98012	;
Thomas S. Vedvick	124 South 300th Place, Federal Way, WA 98003	;
Darrick Carter	321 Summit Avenue East, Seattle, WA 98102	;
Marc W. Retter	33402 NE 43rd Place, Carnation, WA 98014	<u>;</u>
Jane Mannion	8904 - 192nd Street SW, Edmonds, WA 98026	; and
Liqun Fan	14116 SE 46th St., Bellevue, WA 98006	,

(hereinafter referred to as ASSIGNORS), having post office addresses as listed above next to our names, are the joint inventors of an invention entitled

# COMPOSITIONS AND METHODS FOR THE THERAPY AND DIAGNOSIS OF LUNG CANCER

which is described and claimed in the specification and claims of continuation-in-part (C-I-P) Patent Application No. 09/651,563, as filed in the United States Patent and Trademark Office on August 29, 2000, ("this application") for which a patent is sought. This application derives benefit from the following application(s):

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number	Attorney Docket Number
09/614,124	7/11/2000		210121.478C9
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09/519,642	3/6/2000		210121.478C4
09/476,300	12/30/1999		210121.478C3
09/466,867	12/17/1999		210121.478C2
09/419,356	10/15/1999		210121.478C1
09/346,492	6/30/1999		210121.478
PCT/US00/18061	6/30/2000		210121.478PC

WHEREAS, Corixa Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 1124 Columbia Street, Suite 200, Seattle, Washington 98104, is desirous of acquiring the entire right, title and interest in and to said invention, this application, and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, this application and any and all Letters Patent which may be granted therefor in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from this application and said parent application(s) to which said foreign applications are entitled by virtue of international convention, treaty or otherwise; said invention, this application and all Letters Patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made.

ASSIGNORS hereby authorize and request the Director of the Patent and Trademark Office to issue said United States Patent to ASSIGNEE, of the entire right, title and

interest in and to the same, for its sole use and benefit, and for the use and behalf of its legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by us has this assignment and sale not been made.

ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign Patent on said invention, for litigation regarding said Patent, or for the purpose of protecting title to said invention or Patent therefor.

1/20/20	26, de
Date	Tongtong Wang
State of Musika files	SS.
County of	

I certify that I know or have satisfactory evidence that Tongtong Wang is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Signature of Notary Public Printed Name

My appointment expires

4/08/50	Antonyonyon
Date	Chaitanya S. Bangur
State of Maleure County of Management	) ss.
I certify that I	know or have satisfactory evidence that Chaitanya S. Bangur is the
-	me, and said person acknowledged that he signed this instrument is free and voluntary act for the uses and purposes mentioned in the
	Dated Notary Public Accordate Dryman
	Printed Name UCIKURE I. BRYAN
	My appointment expires $\frac{3}{1}$
$\frac{9-29-2000}{\text{Date}}$ State of $\frac{\text{Muslum}}{\text{State}}$	Michael J. Lodes
County of King	) ss. )
person who appeared before and acknowledged it to be h	I know or have satisfactory evidence that Michael J. Lodes is the me, and said person acknowledged that he signed this instrument is free and voluntary act for the uses and purposes mentioned in the
instrument.	
	Dated Aiplindier 24 2000
	Signature of Notary Public All All All All All All All All All Al
	Printed Name DEIRURE I. BRYAN
	My appointment expires (1/1/2)

A 1 4	St. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.
9/27/1	
Date	Gary R. Fanger
State of //// Aut	a Con
j/	) ss.
County of	
L partify that	I know or have satisfactory avidence that Comy D. Fenger is the
	I know or have satisfactory evidence that Gary R. Fanger is the me, and said person acknowledged that he signed this instrument
•	is free and voluntary act for the uses and purposes mentioned in the
instrument.	
	1 To the second second
	Dated Aiplimated dt Hick
	Signature of Notary Public Alichaela Distriction
	Printed Name DEIRDRE L RRYAN
	My appointment expires
	wiy appointment expires
/	C = 1100
10/4/2000	There & liely
Date /	Thomas S. Vedvick
State of Was him	s ton
1)	) ss.
County of	)
I certify that I	know or have satisfactory evidence that Thomas S. Vedvick is the
	me, and said person acknowledged that he signed this instrument
	is free and voluntary act for the uses and purposes mentioned in the
instrument.	
	Dated Cover 4, 2000
	Signature of Notary Public Menche Sym
	Printed Name DEIRDRE I. BRYAN
	My appointment expires 9/1/02
	iviy appointment expires

White		
Date	`	Darrick Carter
State of Washing County of King	gton)	SS.
I certify that I	know or have s	atisfactory evidence that Darrick Carter is the person
who appeared before me, a	nd said person	acknowledged that he signed this instrument and
acknowledged it to be his f	ree and volunta	ary act for the uses and purposes mentioned in the
instrument.		
	Dated	October 5, 2000
	Signature of Notary Public	Maridie O Bryan
	Printed Name	DEIRDRE I. BRYAN
	My annointme	nt expires $9/\sqrt{02}$
	iviy appointme	in expires
7/76/00		Tipace in Retty
Date		Marc W. Retter
State of Manne	5 (cm)	
County of	)	SS.
I certify that	I know or have	e satisfactory evidence that Marc W. Retter is the
person who appeared before	me, and said p	person acknowledged that he signed this instrument
and acknowledged it to be hi	s free and volur	ntary act for the uses and purposes mentioned in the
instrument.		
	Dated	Ligitimetre de 2000
	Signature of Notary Public	Millia D Bryan
	Printed Name	DEIRDRE I. BRYAN
	My appointmen	nt expires

[Page 6 of 7]

9/76/200	MA.
Date	Jane Mannion
State of	(x, tax)
County of Kinz	) ss.
I certify that	I know or have satisfactory evidence that Jane Mannion is the person
	and said person acknowledged that she signed this instrument and
	free and voluntary act for the uses and purposes mentioned in the
instrument.	
	Dated Dated 27 2666
	Signature of A / / / / /
	Notary Public Action Classes Dignary
	Printed Name DEIRDRE I. BRYAN
	My appointment expires
	appointment expires
10/02/00	47 72 I
Date	Liqun Fan
a. a 11/18 has	to
State of UUS My	) ss.
County of Ling	)
, ,	
I certify that	I know or have satisfactory evidence that Liqun Fan is the person
who appeared before me, a	and said person acknowledged that he signed this instrument and
acknowledged it to be his f	free and voluntary act for the uses and purposes mentioned in the
instrument.	
	Dated ( 1 To her 2, 2000
	Dated Velober 7, 2000
	Signature of Notary Public Wendle Suyan
	Notary Public Per De